

FATCA QnA

1. WHAT IS FATCA?

FATCA stand for Foreign Account Tax Compliance Act. FATCA requires US individuals and legal persons to report their accounts opened outside the United States. It also requires foreign financial institutions to report to the US Internal Revenue Service (“IRS”) on US customers’ accounts at such financial institutions.

The penalty for non-compliance with FATCA is 30% withholding by the US government on the value of transactions via the accounts of the individual/ legal persons.

2. WHO IS AFFECTED?

FATCA legislation will affect both personal and business customers who are treated as a ‘US Person’ for US tax purposes. The FATCA legislation will also affect certain types of businesses with US owners.

The term US person includes the following (but is not limited to):

- A citizen of the US, including an individual born in the US but resident in another country (who has not given up their US citizenship)
- A person residing in the US, including US green card holders
- Certain persons who spend a significant number of days in the US each year
- US Corporations, US Partnerships, US estates and US trusts
- A foreign company (not US company) that has US beneficial owner(s)

3. IS TECHCOMBANK THE ONLY BANK TO BE AFFECTED BY FATCA?

No. All Banks and other Financial Organization will be affected by FATCA. Each bank will have different approach and operating to ensure compliance with FATCA.

4. AM I ONLY AFFECTED IF I'M A US COMPANY?

No. FATCA has wider impact than just US companies. TCB will need to determine business customer status under FATCA and identify which are reportable under FATCA. We will be communicating with customers who need to take further action.

5. WHY OTHER BANK ASKED FOR DIFFERENT DOCUMENTS THAN TECHCOMBANK?

Banks and Financial Organizations has various way to collect information from their customers in order to confirm their tax status under FATCA. This may mean that in some situations you are asked for different documentation from TCB than another Bank. Meanwhile, TCB cannot offer advice on your FATCA tax status or classification. If you

need further support you should visit the IRS website or contact a professional tax advisor.

6. HOW FREQUENTLY WILL MY COMPANY HAVE TO PROVIDE INFORMATION FOR FATCA PURPOSE?

As FATCA is an ongoing process, in case your account information changes, we may be required to contact you to obtain additional information/documentation so that we are able to update your account classification under FATCA.

7. WILL TCB PROVIDE ALL THE FORMS THAT I NEED TO COMPLETE?

Yes. We will either send you the relevant forms or direct you to a website where you can download them.



W-8BEN-E Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)

Form **W-8BEN-E** Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities) OMB No. 1545-1621
 (Rev. July 2017)
 Department of the Treasury Internal Revenue Service
 For use by entities. Individuals must use Form W-8BEN. Section references are to the Internal Revenue Code. Go to www.irs.gov/FormW8BEN-E for instructions and the latest information. Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form for:
 • U.S. entity or U.S. citizen or resident
 • A foreign individual
 • A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the U.S. (unless claiming treaty benefits).
 • A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions).
 • A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 1152), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions).
 • Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer).

Instead use Form:
 • W-9
 • W-8BEN (Individual) or Form 8233
 • W-BECl
 • W-8BMY
 • W-BECl or W-8EXP
 • W-8BMY

Part I Identification of Beneficial Owner

1 Name of organization that is the beneficial owner
 2 Country of incorporation or organization
 3 Name of disregarded entity receiving the payment (if applicable, see instructions)

4 Chapter 3 Status (entity type) (Must check one box only):
 Simple trust
 Central Bank of Issue
 If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes" complete Part II.
 Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).
 Participating FFI.
 Reporting Model 1 FFI.
 Reporting Model 2 FFI.
 Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.
 Sponsored FFI. Complete Part IV.
 Certified deemed-compliant nonregistering local bank. Complete Part V.
 Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.
 Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.
 Certified deemed-compliant limited life debt investment entity. Complete Part VIII.
 Certain investment entities that do not maintain financial accounts. Complete Part IX.
 Owner-documented FFI. Complete Part X.
 Restricted distributor. Complete Part XI.

Corporation
 Disregarded entity
 Partnership
 Complex trust
 Estate
 Government
 Private foundation
 International organization
 Yes
 No

Nonreporting IGA FFI. Complete Part XII.
 Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII.
 International organization. Complete Part XIV.
 Exempt retirement plans. Complete Part XV.
 Entity wholly owned by exempt beneficial owners. Complete Part XVI.
 Territory financial institution. Complete Part XVII.
 Excepted nonfinancial group entity. Complete Part XVIII.
 Excepted nonfinancial start-up company. Complete Part XIX.
 Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX.
 501(c) organization. Complete Part XXI.
 Nonprofit organization. Complete Part XXII.
 Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.
 Excepted territory NFFE. Complete Part XXIV.
 Active NFFE. Complete Part XXV.
 Passive NFFE. Complete Part XXVI.
 Excepted inter-affiliate FFI. Complete Part XXVII.
 Direct reporting NFFE.
 Sponsored direct reporting NFFE. Complete Part XXVIII.
 Account that is not a financial account.

5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)
 6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).
 City or town, state or province. Include postal code where appropriate. Country
 7 Mailing address (if different from above)
 City or town, state or province. Include postal code where appropriate. Country
 8 U.S. taxpayer identification number (TIN), if required 9a GIIN b Foreign TIN
 10 Reference number(s) (see instructions)

Note: Please complete remainder of the form including signing the form in Part XXX.
 For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 59659N Form W-8BEN-E (Rev. 7-2017)

No alteration is accepted on form W-8BEN-E.
 In case you make mistake, please start over using a new form
 Please DO NOT use any correction tools.
 All W-Forms must be completed in English
 Please refer to "Instructions for Form W-8BEN-E" by IRS [here](#)

A. Please refer to this section and associated instruction from IRS to ensure you are completing the correct W form.

B. PART I (Identification of Beneficial Owner – Page 1 of form)

- Line 1: Full name of entity that is the beneficial owner
- Line 2: Country of incorporation or country under whose laws your entity is created, organized or governed
- Line 3: Name of disregarded entity receiving a withhold able payment (if applicable)
- Line 4: Chapter 3 Status - Check the box that represents your classification under U.S tax principles
- Line 5: Check the one box that applies to your chapter 4 status (Must check 01 box only)
- Line 6: The permanent residence address of the entity identified in line 1.
 - ❖ First line: Full street address
 - ❖ Second line: the City or town, state or province including postal code and "country" in the country box

DO NOT USE:

- PO Box or C/O address
 - Name of a third party
 - Address of a financial institution
 - Line 7: Your mailing address only if it's different from line 6
 - Line 8: Enter your U.S. employer identification number (EIN) (which is a U.S. taxpayer identification number (TIN) for entities)
 - Line 9a: Enter your Global Intermediary Identification Number (GIIN)
 - 9b: Enter your Foreign Tax Identification Number (Foreign TIN) – if applicable
 - Line 10: This line may be used by you or by the withholding agent or FFI to include any referencing information that is useful to the withholding agent to document the beneficial owner
- Note: Please refer to instruction from IRS for further guidance on who is beneficial owner.



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Form W-8BEN-E (Rev. 7-2017) Page 2

Part II Disregarded Entity or Branch Receiving Payment. (Complete only if a disregarded entity with a GIIN or a branch of an FFI in a country other than the FFI's country of residence. See instructions.)

11 Chapter 4 Status (FATCA status) of disregarded entity or branch receiving payment
 Branch treated as nonparticipating FFI. Reporting Model 1 FFI. U.S. Branch.
 Participating FFI. Reporting Model 2 FFI.

12 Address of disregarded entity or branch (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).

 City or town, state or province. Include postal code where appropriate. **C**

 Country

13 GIIN (if any) _____

C. PART II - Disregarded Entity or Branch Receiving Payment (Page 2 of form)

Complete only if a disregarded entity that has its own GIIN and is receiving a withholdable payment or a branch of an FFI in a country other than the FFI's country of residence

Line 11: Choose FATCA Status of disregarded entity or branch receiving payment (Must check 01 box only)

If no box applies to the disregarded entity, you DO NOT need to complete this part.

Line 12: Enter the address of the branch or disregarded entity

Line 13: Enter GIIN (if applicable)

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)

14 I certify that (check all that apply):
 a The beneficial owner is a resident of _____ **D** within the meaning of the income tax treaty between the United States and that country.
 b The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):
 Government Company that meets the ownership and base erosion test
 Tax exempt pension trust or pension fund Company that meets the derivative benefits test
 Other tax exempt organization Company with an item of income that meets active trade or business test
 Publicly traded corporation Favorable discretionary determination by the U.S. competent authority received
 Subsidiary of a publicly traded corporation Other (specify Article and paragraph): _____

c The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status (see instructions).

15 **Special rates and conditions** (if applicable—see instructions):
 The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 14a above to claim a _____ % rate of withholding on (specify type of income):
 Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding: _____

D. PART III – Claim of Tax Treaty Benefits (If applicable)

Complete only if you are a resident in a treaty country and entitled to claim tax treaty benefits.

Line 14a: Check the box and input "Country" of residency

Line 14b OR 14c: Check either box as applicable

Limitation on benefits provision: Check only 01 box

Line 15: Only input if you are claiming treaty benefits that require that you meet conditions not covered by the representations you make in line 14 (or other certifications on the form)



W-8BEN-E Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)



5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)

<input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).	<input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII.
<input type="checkbox"/> Participating FFI.	<input type="checkbox"/> Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII.
<input type="checkbox"/> Reporting Model 1 FFI.	<input type="checkbox"/> International organization. Complete Part XIV.
<input type="checkbox"/> Reporting Model 2 FFI.	<input type="checkbox"/> Exempt retirement plans. Complete Part XV.
<input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.	<input type="checkbox"/> Entity wholly owned by exempt beneficial owners. Complete Part XVI.
<input type="checkbox"/> Sponsored FFI. Complete Part IV.	<input type="checkbox"/> Territory financial institution. Complete Part XVII.
<input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V.	<input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII.
<input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.	<input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XIX.
<input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.	<input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX.
<input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. Complete Part VIII.	<input type="checkbox"/> 501(c) organization. Complete Part XXI.
<input type="checkbox"/> Certain investment entities that do not maintain financial accounts. Complete Part IX.	<input type="checkbox"/> Nonprofit organization. Complete Part XXII.
<input type="checkbox"/> Owner-documented FFI. Complete Part X.	<input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.
<input type="checkbox"/> Restricted distributor. Complete Part XI.	<input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV.
	<input type="checkbox"/> Active NFFE. Complete Part XXV.
	<input type="checkbox"/> Passive NFFE. Complete Part XXVI.
	<input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII.
	<input type="checkbox"/> Direct reporting NFFE.
	<input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII.
	<input type="checkbox"/> Account that is not a financial account.

Part IV Sponsored FFI

16 Name of sponsoring entity: _____

17 Check whichever box applies.

I certify that the entity identified in Part I:

- Is an investment entity;
- Is not a QI, WP (except to the extent permitted in the withholding foreign partnership agreement), or WT; and
- Has agreed with the entity identified above (that is not a nonparticipating FFI) to act as the sponsoring entity for this entity.

I certify that the entity identified in Part I:

- Is a controlled foreign corporation as defined in section 957(a);
- Is not a QI, WP, or WT;
- Is wholly owned, directly or indirectly, by the U.S. financial institution identified above that agrees to act as the sponsoring entity for this entity; and
- Shares a common electronic account system with the sponsoring entity (identified above) that enables the sponsoring entity to identify all account holders and payees of the entity and to access all account and customer information maintained by the entity including, but not limited to, customer identification information, customer documentation, account balance, and all payments made to account holders or payees.

Form **W-8BEN-E** (Rev. 7-2017)

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E. PART IV to XXVIII – Certification of Chapter 4 Status

- ✓ Complete only one part of Parts IV through XXVIII certifying to your chapter 4 status (if required).
- ✓ Identify which part (if any) you should complete by reference to the box you checked on line 5.
- ✓ You are NOT required to complete any of these parts if you selected one of following on line 5:
 - ❖ Nonparticipating FFI
 - ❖ Participating FFI
 - ❖ Registered deemed-compliant FFI
 - ❖ Reporting Model 1 FFI
 - ❖ Reporting Model 2 FFI
 - ❖ Direct reporting NFFE

Example:

- If an entity choose selected "Sponsored FFI" on Part I, Line 5; the entity is required to completed Part IV of the form.
- Please note that the heading of Parts IV through XXVIII matches the chapter 4 status (FATCA Status) selected on Part I, line 5; and Part I, line 5 also contains the reference to the section to be completed, like this example is Part IV



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Part XXIX Substantial U.S. Owners of Passive NFFE

As required by Part XXVI, provide the name, address, and TIN of each substantial U.S. owner of the NFFE. Please see the instructions for a definition of substantial U.S. owner. If providing the form to an FFI treated as a reporting Model 1 FFI or reporting Model 2 FFI, an NFFE may also use this part for reporting its controlling U.S. persons under an applicable IGA.

Name	Address	TIN
	F	

Part XXX Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income to which this form relates, is using this form to certify its status for chapter 4 purposes, or is a merchant submitting this form for purposes of section 6050W;
- The entity identified on line 1 of this form is not a U.S. person;
- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income; **and**
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

Sign Here

Signature of individual authorized to sign for beneficial owner Print Name Date (MM-DD-YYYY)

4 I certify that I have the capacity to sign for the entity identified on line 1 of this form.

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F. PART XXIX – Substantial U.S Owner of Passive NFFE

- ✓ Complete only if Part XXVI is completed and only if applicable
- ✓ Please list out each substantial U.S owner of the NFFE on form with the name, address and TIN

G. PART XXX - Certification

Form W-8BEN-E must be signed and dated by authorized person of the entity on Line 1

1. Please sign the form
2. Please print you full name
3. Please enter date of the form using MM/DD/YYYY format
4. Please tick "I certify that I have the capacity to sign for the entity identified on line 1 of this form"

Please note that Techcombank are unable to provide any tax advice.
If you require any advice, please refer to an independent tax advisor



Hướng dẫn điền tờ khai W-8BEN-E

Không được có bất kỳ sự sửa đổi nào trên W-8BEN-E.
 Trường hợp quý khách mắc lỗi, vui lòng điền lại vào một mẫu mới
 Vui lòng KHÔNG sử dụng bất cứ công cụ sửa chữa nào.
Tất cả các mẫu W phải được điền bằng tiếng Anh
 Vui lòng tham khảo **“Instructions for Form W-8BEN-E”** phát hành bởi IRS tại [đây](#)

Form W-8BEN-E (Rev. July 2017) Department of the Treasury Internal Revenue Service		Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)		OMB No. 1545-1621
Do NOT use this form for:		Instead use Form:		
<ul style="list-style-type: none"> • U.S. entity or U.S. citizen or resident • A foreign individual • A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the U.S. • A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions) • A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(c), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions) • Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer) 		<ul style="list-style-type: none"> W-9 W-8BEN (Individual) or Form 8233 W-8IMY W-8ECI W-8EXP or W-SEXP W-SIMY 		
Part I Identification of Beneficial Owner				
1 Name of organization that is the beneficial owner		2 Country of incorporation or organization		
3 Name of disregarded entity receiving the payment (if applicable, see instructions)				
4 Chapter 3 Status (entity type) (Must check one box only):				
<input type="checkbox"/> Simple trust <input type="checkbox"/> Central Bank of Issue <input type="checkbox"/> Tax-exempt organization <input type="checkbox"/> If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes" complete Part III.		<input type="checkbox"/> Corporation <input type="checkbox"/> Disregarded entity <input type="checkbox"/> Partnership <input type="checkbox"/> Estate <input type="checkbox"/> Government <input type="checkbox"/> Private foundation <input type="checkbox"/> International organization		
5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)				
<input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner). <input type="checkbox"/> Participating FFI. <input type="checkbox"/> Reporting Model 1 FFI. <input type="checkbox"/> Reporting Model 2 FFI. <input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions. <input type="checkbox"/> Sponsored FFI. Complete Part IV. <input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V. <input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI. <input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII. <input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. Complete Part VIII. <input type="checkbox"/> Certain investment entities that do not maintain financial accounts. Complete Part IX. <input type="checkbox"/> Owner-documented FFI. Complete Part X. <input type="checkbox"/> Restricted distributor. Complete Part XI.		<input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII. <input type="checkbox"/> Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII. <input type="checkbox"/> International organization. Complete Part XIV. <input type="checkbox"/> Exempt retirement plans. Complete Part XV. <input type="checkbox"/> Entity wholly owned by exempt beneficial owners. Complete Part XVI. <input type="checkbox"/> Territory financial institution. Complete Part XVII. <input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII. <input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XIX. <input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX. <input type="checkbox"/> 501(c) organization. Complete Part XXI. <input type="checkbox"/> Nonprofit organization. Complete Part XXII. <input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII. <input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV. <input type="checkbox"/> Active NFFE. Complete Part XXV. <input type="checkbox"/> Passive NFFE. Complete Part XXVI. <input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII. <input type="checkbox"/> Direct reporting NFFE. <input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII. <input type="checkbox"/> Account that is not a financial account.		
6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).				
City or town, state or province. Include postal code where appropriate.		Country		
7 Mailing address (if different from above)				
City or town, state or province. Include postal code where appropriate.		Country		
8 U.S. taxpayer identification number (TIN), if required		9a GIIN		b Foreign TIN
10 Reference number(s) (see instructions)				
<small>Note: Please complete remainder of the form including signing the form in Part XXX. For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 59689N Form W-8BEN-E (Rev. 7-2017)</small>				

- A. Vui lòng tham khảo phần này và hướng dẫn liên quan từ IRS để đảm bảo bạn đang điền đúng mẫu W.
- B. PHẦN I (Nhận diện chủ sở hữu có quyền thụ hưởng – Trang 1 của mẫu)
- Dòng 1:** Tên đầy đủ của tổ chức là Chủ sở hữu có quyền thụ hưởng
- Dòng 2:** Quốc gia nơi thành lập tổ chức/doanh nghiệp
- Dòng 3:** Tên tổ chức/doanh nghiệp không tách rời nhận một khoản thanh toán chịu thuế khấu lưu (nếu áp dụng)
- Dòng 4:** Trạng thái Chương 3 – Đánh dấu vào ô thể hiện phân loại của quý khách theo các nguyên tắc thuế Hoa Kỳ
- Dòng 5:** Đánh dấu một ô thể hiện trạng thái Chương 4 của quý khách (Chỉ đánh dấu 01 ô duy nhất)
- Dòng 6:** Địa chỉ thường trú của tổ chức được xác định ở dòng 1.
- ❖ Dòng đầu tiên: Địa chỉ đường phố đầy đủ
 - ❖ Dòng thứ hai: Tên thành phố hoặc thị xã, tiểu bang hoặc tỉnh bao gồm cả mã bưu điện và tên “Quốc gia” vào ô quốc gia.
- KHÔNG SỬ DỤNG:**
- Hòm thư bưu điện hoặc địa chỉ nhờ chuyển hộ
 - Tên của bên thứ 3
 - Địa chỉ của một tổ chức tài chính
- Dòng 7:** Địa chỉ gửi thư qua bưu điện của quý khách trong trường hợp khác với địa chỉ ở dòng 6
- Dòng 8:** Điền mã nhận diện công ty của quý khách (EIN) (Đây là mã số thuế Hoa Kỳ (TIN) cho tổ chức)
- Dòng 9a:** Điền số định danh toàn cầu của quý khách (GIIN)
- 9b:** Điền mã số thuế nước ngoài (TIN nước ngoài) – nếu có
- Dòng 10:** Quý khách hoặc đại lý khấu lưu hoặc FFI để điền bất kì thông tin tham khảo nào có ích cho đại diện khấu lưu để ghi nhận chủ sở hữu hưởng thụ.
- Lưu ý: Vui lòng tham khảo hướng dẫn từ IRS để xác định ai là chủ sở hữu hưởng thụ.



Hướng dẫn điền tờ khai W-8BEN-E

Không được có bất kỳ sự sửa đổi nào trên W-8BEN-E.
 Trường hợp quý khách mắc lỗi, vui lòng điền lại vào một mẫu mới
 Vui lòng KHÔNG sử dụng bất cứ công cụ sửa chữa nào.
Tất cả các mẫu W phải được điền bằng tiếng Anh
 Vui lòng tham khảo “Instructions for Form W-8BEN-E” phát hành bởi IRS tại [đây](#)

Form W-8BEN-E (Rev. 7-2017) Page 2

Part II Disregarded Entity or Branch Receiving Payment. (Complete only if a disregarded entity with a GIIN or a branch of an FFI in a country other than the FFI's country of residence. See instructions.)

11 Chapter 4 Status (FATCA status) of disregarded entity or branch receiving payment
 Branch treated as nonparticipating FFI. Reporting Model 1 FFI. U.S. Branch.
 Participating FFI. Reporting Model 2 FFI.

12 Address of disregarded entity or branch (street, apt. or suite no., or rural route). **Do not use a P.O. box or in-care-of address** (other than a registered address).

 City or town, state or province. Include postal code where appropriate.

 Country **C**

13 GIIN (if any) _____

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)

14 I certify that (check all that apply):

a The beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country. **D**

b The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):

<input type="checkbox"/> Government	<input type="checkbox"/> Company that meets the ownership and base erosion test
<input type="checkbox"/> Tax exempt pension trust or pension fund	<input type="checkbox"/> Company that meets the derivative benefits test
<input type="checkbox"/> Other tax exempt organization	<input type="checkbox"/> Company with an item of income that meets active trade or business test
<input type="checkbox"/> Publicly traded corporation	<input type="checkbox"/> Favorable discretionary determination by the U.S. competent authority received
<input type="checkbox"/> Subsidiary of a publicly traded corporation	<input type="checkbox"/> Other (specify Article and paragraph): _____

c The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status (see instructions).

15 **Special rates and conditions** (if applicable—see instructions):
 The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 14a above to claim a _____ % rate of withholding on (specify type of income): _____
 Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding: _____

C. PHẦN II – Thông tin tổ chức nộp thuế phụ thuộc hoặc chi nhánh nhận thanh toán (Trang 2 của mẫu)

Chỉ điền phần II nếu quý khách là tổ chức nộp thuế phụ thuộc có mã GIIN riêng và nhận được khoản thanh toán chịu khấu trừ hoặc nếu quý khách là chi nhánh của FFI đang hoạt động tại quốc gia khác với quốc gia tại dòng 2

Dòng 11: Lựa chọn trạng thái FATCA của tổ chức nộp thuế phụ thuộc hoặc chi nhánh nhận thanh toán (Chỉ đánh dấu 01 ô duy nhất)

Trường hợp không có ô nào áp dụng cho tổ chức nộp thuế phụ thuộc, quý khách KHÔNG CẦN điền vào phần này.

Dòng 12: Điền địa chỉ của chi nhánh hoặc tổ chức nộp thuế phụ thuộc

Dòng 13: Điền GIIN (nếu có)

D. PHẦN III – Yêu cầu quyền lợi theo hiệp định thuế (Nếu có)

Chỉ hoàn thành phần này nếu quý khách là người cư trú tại quốc gia tham gia hiệp định và có quyền yêu cầu các quyền lợi theo hiệp định thuế.

Dòng 14a: Đánh dấu vào ô và điền “Quốc gia” nơi cư trú.

Dòng 14b hoặc 14c: Đánh dấu vào một trong hai ô áp dụng.

Quy định hiệp ước về giới hạn quyền lợi: Chỉ đánh dấu duy nhất 01 ô

Dòng 15: Chỉ điền phần này nếu quý khách đang yêu cầu hưởng quyền lợi theo hiệp định đòi hỏi tổ chức đáp ứng được các điều kiện không nằm trong thông tin đã khai báo ở dòng 14 (hoặc ở xác nhận khác trên mẫu đơn)



Hướng dẫn điền tờ khai W-8BEN-E

5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)

<input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).	<input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII.
<input type="checkbox"/> Participating FFI.	<input type="checkbox"/> Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII.
<input type="checkbox"/> Reporting Model 1 FFI.	<input type="checkbox"/> International organization. Complete Part XIV.
<input type="checkbox"/> Reporting Model 2 FFI.	<input type="checkbox"/> Exempt retirement plans. Complete Part XV.
<input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.	<input type="checkbox"/> Entity wholly owned by exempt beneficial owners. Complete Part XVI.
<input type="checkbox"/> Sponsored FFI. Complete Part IV.	<input type="checkbox"/> Territory financial institution. Complete Part XVII.
<input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V.	<input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII.
<input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.	<input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XIX.
<input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.	<input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX.
<input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. Complete Part VIII.	<input type="checkbox"/> 501(c) organization. Complete Part XXI.
<input type="checkbox"/> Certain investment entities that do not maintain financial accounts. Complete Part IX.	<input type="checkbox"/> Nonprofit organization. Complete Part XXII.
<input type="checkbox"/> Owner-documented FFI. Complete Part X.	<input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.
<input type="checkbox"/> Restricted distributor. Complete Part XI.	<input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV.
	<input type="checkbox"/> Active NFFE. Complete Part XXV.
	<input type="checkbox"/> Passive NFFE. Complete Part XXVI.
	<input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII.
	<input type="checkbox"/> Direct reporting NFFE.
	<input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII.
	<input type="checkbox"/> Account that is not a financial account.

Part IV Sponsored FFI

16 Name of sponsoring entity: _____

17 Check whichever box applies.

I certify that the entity identified in Part I:

- Is an investment entity;
- Is not a CI, WP (except to the extent permitted in the withholding foreign partnership agreement), or WT; **and**
- Has agreed with the entity identified above (that is not a nonparticipating FFI) to act as the sponsoring entity for this entity.

I certify that the entity identified in Part I:

- Is a controlled foreign corporation as defined in section 957(a);
- Is not a CI, WP, or WT;
- Is wholly owned, directly or indirectly, by the U.S. financial institution identified above that agrees to act as the sponsoring entity for this entity; **and**
- Shares a common electronic account system with the sponsoring entity (identified above) that enables the sponsoring entity to identify all account holders and payees of the entity and to access all account and customer information maintained by the entity including, but not limited to, customer identification information, customer documentation, account balance, and all payments made to account holders or payees.

Form **W-8BEN-E** (Rev. 7-2017)

Không được có bất kỳ sự sửa đổi nào trên W-8BEN-E.
 Trường hợp quý khách mắc lỗi, vui lòng điền lại vào một mẫu mới.
 Vui lòng KHÔNG sử dụng bất cứ công cụ sửa chữa nào.
Tất cả các mẫu W phải được điền bằng tiếng Anh
 Vui lòng tham khảo "Instructions for Form W-8BEN-E" phát hành bởi IRS tại [đây](#)

E. PHẦN IV to XXVIII – Chứng nhận trạng thái chương 4

- ✓ Chỉ hoàn thành một trong các phần từ IV tới XXVIII để chứng nhận trạng thái chương 4 của quý khách (nếu cần).
- ✓ Xác định phần (nếu có) quý khách cần hoàn thành dựa trên ô quý khách đã đánh dấu ở dòng 5.
- ✓ Quý khách KHÔNG cần phải hoàn thành bất kỳ phần nào nếu quý khách chọn một trong các mục sau ở dòng 5:
 - ❖ Nonparticipating FFI
 - ❖ Participating FFI
 - ❖ Registered deemed-compliant FFI
 - ❖ Reporting Model 1 FFI
 - ❖ Reporting Model 2 FFI
 - ❖ Direct reporting NFFE

Ví dụ:

- Nếu một tổ chức/doanh nghiệp chọn "Sponsored FFI" ở dòng 5 – phần I; tổ chức/doanh nghiệp phải hoàn thành phần IV trên mẫu này.
- Lưu ý rằng tiêu đề của phần IV đến phần XXVIII khớp với trạng thái chương 4 (trạng thái FATCA) đã chọn ở dòng 5 phần I; và dòng 5, phần I cũng có tham chiếu đến phần quý khách cần điền, như trong ví dụ này là phần IV.



Hướng dẫn điền tờ khai W-8BEN-E

Part XXIX Substantial U.S. Owners of Passive NFFE

As required by Part XXVI, provide the name, address, and TIN of each substantial U.S. owner of the NFFE. Please see the instructions for a definition of substantial U.S. owner. If providing the form to an FFI treated as a reporting Model 1 FFI or reporting Model 2 FFI, an NFFE may also use this part for reporting its controlling U.S. persons under an applicable IGA.

Name	Address	TIN
	F	

Part XXX Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income to which this form relates, is using this form to certify its status for chapter 4 purposes, or is a merchant submitting this form for purposes of section 6050W;
- The entity identified on line 1 of this form is not a U.S. person;
- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income; **and**
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

Sign Here

Signature of individual authorized to sign for beneficial owner Print Name Date (MM-DD-YYYY)

4 I certify that I have the capacity to sign for the entity identified on line 1 of this form.

Form **W-8BEN-E** (Rev. 7-2017)

Không được có bất kỳ sự sửa đổi nào trên W-8BEN-E.
 Trường hợp quý khách mắc lỗi, vui lòng điền lại vào một mẫu mới
 Vui lòng **KHÔNG** sử dụng bất cứ công cụ sửa chữa nào.
Tất cả các mẫu W phải được điền bằng tiếng Anh
 Vui lòng tham khảo **"Instructions for Form W-8BEN-E"** phát hành bởi IRS tại [đây](#)

F. PHẦN XXIX – Chủ sở hữu Hoa Kỳ quan trọng của NFFE thụ động

- ✓ Chỉ hoàn thành phần này nếu đã điền xong phần XXVI và chỉ khi áp dụng.
- ✓ Vui lòng liệt kê các chủ sở hữu quan trọng của NFFE trên mẫu với các thông tin về tên, địa chỉ và TIN

G. PHẦN XXX - Chứng nhận

Mẫu W-8BEN-E phải được xác nhận bởi người có thẩm quyền của tổ chức điền ở dòng 1

1. Vui lòng ký vào mẫu
2. Vui lòng điền tên đầy đủ
3. Vui lòng điền ngày tháng năm theo định dạng MM/DD/YYYY.
4. Vui lòng đánh dấu "I certify that I have the capacity to sign for the entity identified on line 1 of this form" (Tôi xác nhận rằng tôi có đủ quyền hạn để ký cho tổ chức được xác định ở dòng 1 của mẫu)

Lưu ý rằng Techcombank không cung cấp dịch vụ tư vấn thuế.
 Trường hợp quý khách có yêu cầu, vui lòng liên hệ chuyên gia tư vấn thuế độc lập



W9 – Request for Taxpayer Identification Number and Certification



No alteration is accepted on form W9
 In case you make mistake, please start over using a new form
 Please DO NOT use any correction tools.
All W-Forms must be completed in English
 Please refer to “Instructions for the Requester of Form W9” by IRS [here](#)

Form W-9
 (Rev. October 2018)
 Department of the Treasury
 Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Go to www.irs.gov/FormW9 for instructions and the latest information.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check **only one** of the following seven boxes.

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3).

5 Address (number, street, and apt. or suite no.) See instructions.

6 City, state, and ZIP code

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and

2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and

3. I am a U.S. citizen or other U.S. person (defined below); and

4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Sign Here | signature of U.S. person | Date

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.

A. Identification of customer

- Line 1:** Enter full name that match the name on your tax return. DO NOT leave this line blank
- Line 2:** Enter your business/ trade name/ DBA name or disregarded entity name only if the answer is different to your answer on line 1
- Line 3:** Check only **01** box for the U.S federal tax classification of the person whose name is entered on line 1. In case you are limited liability company, please check “LLC” box and enter your tax classification as C=C corporation, S=S corporation, P=Partnership)
- Line 4:** Enter “Exempt Payee Code” and “Exemption from FATCA reporting code” in appropriate space (If applicable)
 Note: Please refer to independent tax advisor for any queries related to these codes.
- Line 5:** Enter you address (number, street, and apartment or suite number)
- Line 6:** Enter your city, state and ZIP code.
- Line 7:** This is optional field. We recommended that you do not list any account number as you may have to provide forms for accounts you have not included

B. PART I – Taxpayer Identification Number (TIN)

- ❖ For individuals or sole proprietors: enter your social security number (SSN)
 - ❖ For entities: enter your employer identification number (EIN)
- Note: A valid TIN will always be made up of nine (09) digits.

C. PART II – Certification

- ❖ Please sign and date the form.
- ❖ Please be sure you are authorized to sign in case you are signing on behalf of an entity

Please note that Techcombank are unable to provide any tax advice.
 If you require any advice, please refer to an independent tax advisor



Hướng dẫn điền tờ khai W9



Form W-9
Rev. October 2018
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only **one** of the following seven boxes.

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3).

5 Address (number, street, and apt. or suite no.). See instructions.

6 City, state, and ZIP code

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and

2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and

3. I am a U.S. citizen or other U.S. person (defined below); and

4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Sign Here

Signature of U.S. person

Date

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

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Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.

Form W-9 (Rev. 10-2018)

Không được có bất kỳ sự sửa đổi nào trên W-9.
 Trường hợp quý khách mắc lỗi, vui lòng điền lại vào một mẫu mới
 Vui lòng KHÔNG sử dụng bất cứ công cụ sửa chữa nào.
Tất cả các mẫu W phải được điền bằng tiếng Anh
 Vui lòng tham khảo **"Instructions for Form W-9"** phát hành bởi IRS tại [đây](#)

A. Nhận diện khách hàng

Dòng 1: Điền tên đầy đủ giống với tên trên tờ khai thuế thu nhập của quý khách. Vui lòng KHÔNG bỏ trống.

Dòng 2: Điền tên tổ chức/doanh nghiệp nộp thuế phụ thuộc của quý khách nếu thông tin này khác với tên ở dòng 1

Dòng 3: Chỉ đánh dấu **01** ô để phân loại thuế liên bang Hoa Kỳ cho người được điền tên ở dòng 1.

Trường hợp quý khách là công ty trách nhiệm hữu hạn, vui lòng chọn ô "LLC" và điền phân loại tương ứng vào trường được chỉ dẫn (C=C corporation, S=S corporation, P=Partnership)

Dòng 4: Điền "Mã đối tượng thụ hưởng được miễn trừ" và "Mã miễn trừ báo cáo theo yêu cầu của FATCA" vào trường được chỉ dẫn (Nếu có)

Lưu ý: Vui lòng tham khảo chuyên gia tư vấn thuế độc lập cho các thắc mắc liên quan tới những mã này.

Dòng 5: Điền địa chỉ của quý khách (số nhà, phố, và số căn hộ)

Dòng 6: Điền thành phố, bang và mã bưu chính của quý khách.

Dòng 7: Đây là trường không bắt buộc. Không nên liệt kê các số tài khoản ở phần này bởi quý khách có thể sẽ phải cung cấp thêm các mẫu khác nếu phát sinh thêm tài khoản khác.

B. PHẦN I – Mã số thuế Hoa Kỳ (TIN)

❖ Đối với các nhân hoặc doanh nghiệp cá thể: Điền số an sinh xã hội (SSN)

❖ Đối với tổ chức/doanh nghiệp: Điền mã nhận diện công ty (EIN)

Lưu ý: Một mã TIN hợp lệ luôn bao gồm chín (09) chữ số.

C. PHẦN II – Chứng nhận

❖ Vui lòng ký và điền ngày tháng năm lên mẫu.

❖ Vui lòng đảm bảo rằng quý khách đủ thẩm quyền để ký lên mẫu trong trường hợp quý khách ký đại diện cho tổ chức.

Lưu ý rằng Techcombank không cung cấp dịch vụ tư vấn thuế.

Trường hợp quý khách có yêu cầu, vui lòng liên hệ chuyên gia tư vấn thuế độc lập