

APPENDIX NO. 01

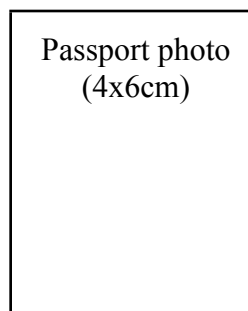
(Issued as an accompaniment to Circular No. 22/2018/TT-NHNN dated September 5th 2018 by the Governor of the State Bank of Vietnam to provide guidance on procedures and documentation for approval of tentative lists of personnel of commercial banks, non-bank credit institutions and branches of foreign banks)

SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom – Happiness

CURRICULUM VITAE(1)

1. Personal information

- Formal full name as is stated on birth certificate.
- Informal full name.
- Nickname.
- Date of birth.
- Place of birth.
- Nationality/nationalities (if any).
- Address on household registration book, address on ID card, and current address.
- ID card number (or passport numbers/other equivalent legally valid identification); Date and place of issue of ID card (or passport numbers/other equivalent legally valid identification).
- Name and address of the legal person which you represent, representative capital contribution ratio (where you are the representative of the contributed capital or shareholders or where capital contributors are the legal persons or are appointed as representatives of State capital at credit organizations).



2. Education

- Educational backgrounds.
- Academic titles (specify names and addresses of universities; majors; duration of study; degrees (make a comprehensive list of degrees)).

3. Work experience:

- Jobs, positions and companies since 18 years of age⁽²⁾.

No.	Duration (from month/year to month/year) ⁽³⁾	Company	Position ⁽⁴⁾	The company's line of business and your responsibilities	Note ⁽⁵⁾

- Awards, punishments and/or responsibilities concluded by inspectors that lead to credit institutions or branches of foreign banks being subject to administrative penalties⁽⁶⁾.

4. Capacity⁽⁷⁾

4a. Information about prohibition from holding certain positions, incorporation and management of enterprises and cooperatives (in case the criminal record or another document of equivalent validity issued by foreign competent authority does not contain this information):

5. Undertakings

I undertake:

- To satisfy standards and conditions for holding the position ... at credit institution or branch of foreign bank.

- Not to violate laws on money and banking.

- To notify credit institution or branch of foreign bank of any change relating to the content of this CV while the State Bank of Vietnam is considering the request made by ... (name of credit institution or branch of foreign bank).

- That the personal information which I provide credit institution or branch of foreign bank for the State Bank of Vietnam to consider and approve my recruitment is accurate and honest. I am hereby liable for the completeness, honesty and accuracy of the information which I list above.

....., day ... month ... year

Signature and full name of applicant

(The applicant's signature must be verified.)

Notes:

1. The applicant must provide complete information and be held accountable to the law and credit institution or branch of foreign bank for its completeness, honesty and accuracy of the information. Where there is no change to such information, the applicant must clearly specify the same.

2. *The applicant must provide complete information of his or her past and present jobs, positions and companies.*

3. *Temporal continuity must be ensured.*

4. *If the applicant holds a managerial or executive position according to the law or regulations on credit institutions and businesses, he or she must clearly specify the same.*

5. *The applicant must make notes if his or her company belongs to one of the following cases:*

a) a subsidiary of the credit institution;

b) a business where the State owns more than 50% of its charter capital;

c) a company stipulated at Point e, Clause 2, Article 33 of the Law on Credit Institutions;

d) a company stipulated at Point c, Clause 1, Article 33 of the Law on Credit Institutions;

6. *If the applicant belongs to one of the cases set forth at Points d and h, Clause 1, Article 33 of the Law on Credit Institutions, he or she must clearly specify the same.*

7. *Notes must be taken as to whether the applicant has full/limited/no capacity.*

(In addition to the above basic information, the applicant may provide additional information if necessary.)